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FAX FILING IN U.S. PATENT & TRADEMARK OFFICE

DATE: June 28, 2004

TIME: _____

TO:	Examiner Bernard E. Souw	FAX NO.:	1-703-872-9306
FROM:	Kenneth N. Nigon	ADMIN. ASST.:	Tonya M. Berger
APPLN. NO.:	10/606,606	ATTY. DOCKET NO.:	MKPA-10 ⁴ -US
TITLE OF APPLN.: LOW COST PACKAGE DESIGN FOR FIBER COUPLED OPTICAL COMPONENT			
FILING DATE:	June 26, 2003	ART UNIT:	2881
FIRST INVENTOR:	Michael Powers	CONF. NO.:	4917
TITLE OF DOCUMENT (and List of Attachments): Transmittal, Fee Transmittal in duplicate and			
Amendment			

Total Number of Pages: 17 (including this form)

COMMENTS

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PTO/SB/21 (02-04) (AW 02/2004)

Approved for use through 7/31/2008. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application Number 10/808,806
		Filing Date June 26, 2003
		First Named Inventor Michael Powers
		Art Unit 2881
		Examiner Name Bernard E. SOLW
Total Number of Pages in This Submission 16		Attorney Docket No. MKPA-104U\$

ENCLOSURES (Check all that apply)

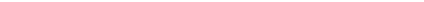
- | | | |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form
<input checked="" type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s)
<input type="checkbox"/> Licensing-related Papers
<input type="checkbox"/> Petition
<input type="checkbox"/> Petition to Convert to a Provisional Application
<input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address
<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Request for Refund
<input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Status Letter
<input type="checkbox"/> Other Enclosure(s) (please Identify below): |
| <input type="checkbox"/> Extension of Time Request | Remarks: | |
| <input type="checkbox"/> Express Abandonment Request | | |
| <input type="checkbox"/> Information Disclosure Statement | | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | | |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application | | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual Name Signature	Kenneth N. Nigon 	Registration No. (Attorney/Agent)	31,549
Date	June 28, 2004		

CERTIFICATE OF TRANSMISSION / MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:

Typed or printed name	Tonya M. Berger
Signature	
	Date June 28, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 172)

Complete if Known

Application Number	10/608,608
Filing Date	June 26, 2003
First Named Inventor	Michael Powers
Examiner Name	Bernard E. Souw
Art Unit	2881
Attorney Docket No.	MKPA-104US

METHOD OF PAYMENT (check all that apply)

 Check Credit Card Money Other None
 Order
 Deposit Account:

Deposit Account Number 18-0350

Deposit Account Name RatnerPrestia

The Director is authorized to: (check all that apply)

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- Charge fee(s) indicated below
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- Credit any overpayments
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- Charge any additional fee(s) or any underpayment of fee(s)
-
-
- Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	180	2005	80	Provisional filing fee	
SUBTOTAL (1)		(\$ 0)			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE					
Total Claims	25	-25**	= 0	X 0 = 0	
Independent Claims	5	-3**	= 2	X 85 = 172	
Multiple Dependent			X 0 = 0		
Large Entity		Small Entity			
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1202	18	2202	9	Claims in excess of 20	
1201	85	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	85	2204	43	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)		(\$ 172)			
Other fee (specify)					
*Reduced by Basic Filing Fee Paid					SUBTOTAL (3)
					(\$ 0)

**or number previously paid, if greater. For Reissues, see above.

Complete if applicable

Name (Print/Type)	Kenneth N. Nigon	Registration No. Attorney/Agent)	31,549	Telephone	(610)407-0700
Signature				Date	June 26, 2004

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003, Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT (\$)
172

Complete if Known

Application Number	10/606,606
Filing Date	June 26, 2003
First Named Inventor	Michael Powers
Examiner Name	Bernard E. Souw
Art Unit	2881
Attorney Docket No.	MKPA-104US

METHOD OF PAYMENT (check all that apply)

 Check Credit Card Money Other None
Order Deposit Account:

Deposit Account Number	18-0350
Deposit Account Name	RatnerPrestia

The Director is authorized to: (check off that apply)

- Charge fee(s) indicated below
 Credit any overpayments
 Charge any additional fee(s) or any underpayment of fee(s)
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1)

(\$)
0

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
25	-25**	= 0	X = 0
Independent Claims	5	-3**	= 2 X 86 = 172
Multiple Dependent			X = 0

SUBTOTAL (2) (\$)
172

*or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	Filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	250	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	65	Petition to revive – unavoidable	
1453	1,330	2453	665	Petition to revive – unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1808	180	Submission of Information Disclosure Stmt	
2021	40	2021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2802	385	Filing a submission after final rejection (37 CFR § 1.129(b))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)
0

SUBMITTED BY

Complete if applicable

Name (Print/Type)	Kenneth N. Bilson	Registration No. Attorney/Agent)	31,549	Telephone	(610)407-0700
Signature	<i>K. Bilson</i>			Date	June 28, 2004

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Appln. No.: 10/606,606
Amendment Dated June 28, 2004
Reply to Office Action of March 26, 2004

MKPA-104US
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JUN 28 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

OFFICIAL

Appln. No: 10/606,606
Applicant: Michael Powers
Filed: June 26, 2003
Title: LOW COST PACKAGE DESIGN FOR FIBER COUPLED OPTICAL COMPONENT
TC/A.U.: 2881
Examiner: Bernard E. Souw
Confirmation No.: 4917
Docket No.: MKPA-104US

AMENDMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Responsive to the Office Action dated **March 26, 2004**, please amend the above-identified application as follows:

- Amendments to the Specification** begin on page _____ of this paper.
- Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.
- Amendments to the Drawings** begin on page _____ of this paper and include an attached replacement sheet(s).
- Amendments to the Abstract** are on page _____ of this paper. A clean version of the Abstract is on page _____ of this paper.
- Remarks/Arguments** begin on page 7 of this paper.